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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/575,467	04/12/2006	Shinichi Kaga	2006_0526A	3223

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EXAMINER
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COX, ALEXIS K

ART UNIT	PAPER NUMBER
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3785

NOTIFICATION DATE	DELIVERY MODE
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04/20/2011

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/575,467	<b>Applicant(s)</b> KAGA ET AL.	
	<b>Examiner</b> ALEXIS K. COX	<b>Art Unit</b> 3785	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 25 January 2011.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 41-46 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 41-46 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

This action is in response to the amendment filed 1/25, 11. Claims 1-40 are cancelled.

#### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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4. Claims 41 and 43-46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lee et al (US Patent No. 5,921,095) in view of Viegas (US Patent No. 6,062,030), Linstromberg (US Patent No. 5,060,486), and Astle et al (US Patent Application Publication No. 2003/0168389).

Regarding claim 41, Lee discloses a refrigerating storage cabinet comprising a heat insulating housing (5, see column 1 lines 42-45; 12, see column 4 lines 51-52 and column 5 lines 12-14) having a storage compartment (see figure 2); a refrigerating unit that includes a compressor (6, see column 4 line 35; see also column 1 line 29), and a condenser, expanding mechanism, and evaporator, as they are inherently present in the system of Lee et al. Lee further discloses the unit to be conformable to a plurality of refrigerating specifications (see column 6 lines 16-24), at least one being for refrigerating and one for freezing. Lee also discloses an identifying means (40, 31, see column 6 lines 4-16; see also figures 11 and 12) configured to identify the refrigerating specification (mode, examples given being refrigerating + refrigerating + freezing and freezing + refrigerating + refrigerating) of the heat storage compartment to which the refrigerating unit is detachably attached (14, 15, see column 5 lines 41-48) by providing an identification signal indicative of the identified refrigerating specification (see column 4 lines 11-15), a control unit (16, 17, see column 5 line 50; see also figure 6) for said refrigerating unit, the control unit being configured to select one of said plurality of refrigerating specifications based on said identification signal (31, see column 6 lines 29-31) and to control operation of said refrigerating unit in accordance with the selected one of said plurality of refrigerating specifications (see column 6 lines 4-24). The

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identifying means of Lee et al includes a detecting portion (microcontroller 16) provided on one of the refrigerating unit or the heat insulating housing, and a detected portion (switches 40, see column 6 lines 4-15) provided on an other of the heat insulating housing or the refrigerating unit, wherein an interaction between the detecting portion and the detected portion determines the identification signal (see column 6 lines 4-15; see also figure 12), and the detecting and detected portions are arranged close together, and have an interaction therebetween as a result of mounting said refrigerating unit to said heat insulating housing, with the identification signal being based on the interaction; and the control unit has a data storage that stores the plurality of refrigerating characteristics associated with said plurality of refrigerating specifications, as this is an inherent feature of the microcontroller of Lee.

It is noted that Lee et al does not explicitly state the use of a time-varying change mode of dropping of a physical amount with respect to refrigeration, the physical amount including an internal temperature of the heat insulating housing; more specifically, Lee et al does not explicitly perform pull-down cooling and control refrigeration according to the internal temperature monitored. The programming concept of pull-down cooling in a multi-compartmented refrigerated space is well known in the art, as is demonstrated by Viegas (see column 3 lines 23-29), and as such it would have been obvious to one of ordinary skill in the art at the time of the invention to implement the pull-down cooling of Viegas in the system of Lee et al in order to provide better temperature control within the refrigerator/freezer in question.

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It is noted that Lee et al does not explicitly disclose the use of a single set of materials for both freezer and refrigerator modules, although as Lee et al only discloses a single pattern of construction, material proportions and types are the only variants disclosed by Lee et al to physically distinguish between freezer and refrigerator modules.

Linstromberg explicitly discloses a single compartment which can be used as a refrigerator or freezer (see figure 2). As the only difference between the refrigerator and freezer compartments of Lee et al is materials, the varied selection of which was done to reduce construction costs, and it is well known as a common mechanical expedient to use the same materials to reduce construction costs by providing interchangeable parts and bulk discounts, it would have been obvious to one of ordinary skill in the art at the time of the invention to use a single unit type for both refrigerator and freezer units in the system of Lee et al, as is disclosed by Linstromberg, in order to simplify assembly and therefore reduce labor costs.

It is further noted that the detector of Lee is not disclosed to trigger the signal by the movement of the detecting and detected portions relative to each other. Astle et al explicitly discloses the use of reed switches (see figures 14 and 15 and paragraphs [0030] and [0031]), the arrangement of which indicates to the controller of Astle what type of filter is present in the system, and the triggering of which is caused by the movement of the filter into position in the refrigerator. The triggering of the reed switches must happen because of the movement of the filter into place because that is the nature of reed switches; see also paragraph [0031] of Astle. As the systems of Astle

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and Lee both relate to identification of removable and limitedly interchangeable components in a system, it would have been obvious to one of ordinary skill in the art at the time of the invention to substitute the reed switch detector and associated programming logic of Astle for the button or switch setting of Lee in order to simplify installation of units, as it would no longer require deliberate human intervention in the appropriate identification of units. Further, the implementation of these reed switches requires the detecting and detected portions to be moved close to each other when the refrigerating unit is mounted to the heat insulating housing.

Regarding claim 43, Lee et al discloses an information recording section storing supplemental information (31, 16, see column 6 lines 29-31 and 16-24) and an information conveying means for reading and communicating the supplementary information to the control means, and the supplementary information includes at least one of a size of the heat insulating housing or a heat invasion amount characteristic, as the temperature change of the interior space over time is a heat invasion amount characteristic (see column 6 lines 16-24).

Regarding claims 44 and 45, a pull down cooling characteristic is, as usually applied, one where faster or stronger cooling is applied when first starting a unit or when the temperature being controlled goes above an upper limit. This cooling is reduced or stopped at another threshold temperature or temperatures, such that the temperature is controlled in a range about the desired temperature. It is therefore disclosed by the systems of Lee et al and Viegas that the apparatus be used in accordance with the specified refrigeration characteristic. Additionally, there is little point to calculating

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control characteristics without using them; as well as Lee discloses operation of the refrigerating storage cabinet according to the calculated characteristics (16, 17, see column 5 line 50, column 6 lines 16-24, and figure 6).

Regarding claim 46, it is noted that the use of a pressure sensor or sensors as an identification means is not explicitly disclosed by Lee. Astle does, however, explicitly disclose not only reed switches as identifiers, but also the use of a reed switch as a pressure sensor (see paragraph [0052]), and the use of barcodes, electrical contact pins to complete a circuit, a flow sensor, or a connector head to detect what type of cartridge is present, in various embodiments. It would therefore have been obvious to one of ordinary skill in the art at the time of the invention to use Astle's teaching of simple pressure sensors in the detection of what type of unit had been placed in the system of Lee, in order to use a sensor which cannot randomly change settings due to someone placing a magnet near it.

5. Claim 42 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lee et al (US Patent No. 5,921,095), Viegas (US Patent No. 6,062,030), Linstromberg (US Patent No. 5,060,486), and Astle et al (US Patent Application Publication No. 2003/0168389), in view of Valence et al (US Patent No. 5,600,966).

Regarding claim 42, it is noted that Lee et al as modified do not explicitly disclose the presence of a condensation-preventing heater with variable heating performance located about an opening of the heat insulating housing or a switching device provided to switch the variable heating performance of the heater to correspond to the appropriate one of the plurality of refrigerating specifications. Valence et al discloses the



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presence of a condensation-preventing heater (46, see column 3 lines 38-43) with variable heating performance (46, 48, see column 4 lines 44-47) and located about an opening of the heat insulating housing, and the control unit of Lee et al (16, 17, see column 5 line 50; see also figure 6) is capable of controlling the heating element of Valence. Further, as the function and structure of Lee et al, Linstromberg, Viegas, and Valence et al are similar, it would have been obvious to one of ordinary skill in the art at the time of the invention to implement the condensation preventing heater of Valence et al in the system of Lee et al and Viegas to prevent excess condensation, as stated in column 3 line 43 of Valence et al.

### ***Response to Arguments***

6. Applicant's arguments filed 1/25/2011 have been fully considered but they are not persuasive. The reasons are as follows.

It is argued on pages 5-6 that the detachably mounted refrigeration units of Lee are not equivalent to the refrigeration units claimed, but to the storage compartments claimed, with the machinery sections of Lee being equivalent to the claimed refrigeration units.

As currently claimed, the heat insulation is configured to have a (singular) storage compartment (claim 41, line 2). The fact that it is configured to have a storage compartment means, and that the refrigerating unit "includes" a compressor, condenser, expanding mechanism, and evaporator, does not exclude the inclusion of additional elements, such the storage chamber the housing is configured to have but does not actually have.

There is no requirement in the language of the claim that anything within a single refrigeration unit be detachable relative to the associated storage compartment, or any storage compartment. Indeed, each machinery section is clearly detachable relative to all of the other storage compartments, and thus even with this narrower reading of the claim, the limitations have still been met.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., detachable mounting of machinery components relative to storage units) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

It is argued on pages 6-7 that the detecting and detected portions are not provided on the refrigerating unit and heat insulating housing, respectively.

This argument appears to be made solely regarding Lee. Astle was relied upon for reed switches, which by their nature must have detecting and detected portions on separate components; it is the movement into proximity with each other which results in the changed magnetic field which the sensor detects.

In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Finally, it is argued on pages 7-8 that the detecting and detected portions are on the same component.

This is, again, arguing the references separately.

It is argued on page 8 that there is no motivation to use the reed switches of Astle as the detecting and detected portions.

The reed switches of Astle are used, with a portion on a filter and a portion on the filter mount, to detect what kind of filter is being installed in a refrigerator water dispensing system. It won't work right if not mounted with different component on different parts. The pattern of present/absent detected is what tells the controller of Astle what kind of filter is there. This is the same pattern of on/off used in Lee to determine what sort of unit has been hooked up; as explicitly stated in the above rejection, the implementation of reed switches, as was done by Astle, will result in not requiring a person to tell the controller what sort of unit got hooked up to it. Less human input means less probability of an error occurring.

Finally, it is argued that Valence fails to overcome the supposed deficiencies in the rejection of claim 1, this is equivalently unpersuasive.

### ***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Hansen et al (US Patent Application Publication No. 2010/0307178) discloses a modular heat pump system where each unit has its own control circuitry and user interface, but there is also a central controller and user interface.

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8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ALEXIS K. COX whose telephone number is (571)270-5530. The examiner can normally be reached on Monday through Thursday 9:30a.m. to 7:00p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Judy Swann can be reached on 571-272-7075. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J J Swann/

Supervisory Patent Examiner, Art Unit 3785

/AKC/